NetBackup 7.1 Best Practice
Using Storage Lifecycle Policies and
Auto Image Replication

This paper describes the best practices around using Storage Lifecycle Policies, including the Auto Image Replication feature, and supersedes the previous best practice paper, TECH75047 for NetBackup 7.1 and higher versions of NetBackup.

If you have any feedback or questions about this document please email them to IMG-TPM-Requests@symantec.com stating the document title.
### Table of Contents

#### NetBackup Duplication Best Practice
- Plan for duplication time
- Use OpenStorage devices rather than VTLs
- Use “Maximum I/O streams per volume” with Disk Pools
- Be conservative when using storage unit groups with Media Server Load Balancing

#### Storage Lifecycle Policy General Best Practice
- Introduce SLPs into the environment gradually
- Balance your duplication resources
- Avoid increasing backlog
  - Monitoring SLP progress and backlog growth
  - Reduce backlog by delaying or canceling the duplication of the older images
- Be conservative with the number of SLPs you create
- Use Duplication Job Priority to give backups priority over duplications
  - Reduce disk contention by disabling duplication during the backup window
- Be conservative when using storage unit groups with Inline Copy
- Large duplication jobs are more efficient
- Considerations for environments with very large images and many very small images
- Considerations when using SLPs with Catalog Backups
- Considerations when duplicating multiple stream application backups

#### Strategies specific to tape devices (including Virtual Tape Library)
- Minimize contention for tape drives
- Provide an appropriate number of virtual tape drives for duplication
- Avoid sharing virtual tape drives
- Preserve multiplexing
  - Use Inline Copy to make multiple copies from a tape device (including VTL)
  - Improving duplication speed of small images to tape

#### Considerations for using Auto Image Replication
- Some points to note about the Auto Image Replication process
- General operational considerations
<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Storage considerations</td>
<td>12</td>
</tr>
<tr>
<td>Bandwidth considerations</td>
<td>12</td>
</tr>
<tr>
<td>Target domain ingest rates</td>
<td>12</td>
</tr>
<tr>
<td>Restore considerations</td>
<td>12</td>
</tr>
<tr>
<td>Retention considerations</td>
<td>12</td>
</tr>
<tr>
<td>The LIFECYCLE_PARAMETERS file</td>
<td>13</td>
</tr>
<tr>
<td>Reporting on Storage Lifecycle Policies</td>
<td>15</td>
</tr>
<tr>
<td>SLP Status Report</td>
<td>15</td>
</tr>
<tr>
<td>Reporting on Auto Image Replication Activity</td>
<td>16</td>
</tr>
<tr>
<td>The SLP Backlog Report</td>
<td>17</td>
</tr>
<tr>
<td>Considerations when upgrading from versions prior to NetBackup 6.5.5</td>
<td>17</td>
</tr>
<tr>
<td>Use duplication job priority to group SLPs</td>
<td>18</td>
</tr>
<tr>
<td>Use Network Optimized Duplication to reduce duplication over the LAN</td>
<td>18</td>
</tr>
<tr>
<td>Performance considerations for preferred and required options</td>
<td>18</td>
</tr>
<tr>
<td>Touch file RB_DO_NOT_USE_SHARED_RESERVATIONS</td>
<td>19</td>
</tr>
<tr>
<td>Restrictions lifted in 6.5.5</td>
<td>19</td>
</tr>
<tr>
<td>SLPs sharing tapes</td>
<td>20</td>
</tr>
</tbody>
</table>
NetBackup Duplication Best Practice

The practices listed in this section are general guidelines that apply not just to Storage Lifecycle Policies (SLPs) but to all types of duplication including the Vault option, duplication options initiated through the catalog section of the Administration GUIs and simple scripted duplication using the bpduplicate command.

Plan for duplication time

Unless you are doing Optimized Duplication of deduplicated backups, duplication of a backup usually takes longer than writing the initial backup itself. Duplication also consumes twice the bandwidth from storage devices than backups consume because a duplication job must read from one storage device and write to another storage device.

Make sure that you have enough hardware. The bandwidth available for duplication (the number of tape drive pairs, for example) needs to be large enough to accommodate the amount of data that needs to be duplicated.

Duplication taxes the NetBackup resource broker (nbrb) twice as much as backups, because duplication needs resources to read and to write. If nbrb is overtaxed, it can slow the rate at which all types of jobs (backups, duplications and restores) are able to acquire resources and begin to move data.

Hardware problems happen so plan to maintain some daily or weekly slack time to allow for hardware issues.

Use OpenStorage devices rather than VTLs

A VTL’s design emulates a physical tape library and thus VTLs are subjected to the same batching logic conflicts that restrict performance with physical tape. OpenStorage devices are disk devices and are not constrained in this way. OpenStorage devices can also take advantage of NetBackup’s ‘optimized duplication’ capability to duplicate images more efficiently.

Use “Maximum I/O streams per volume” with Disk Pools

Disk storage units allow you to limit the number of concurrent write jobs that use the storage unit, however there are no limits on the number of read jobs (restores and duplications) that may be accessing the same disk pool at the same time and it is also possible to configure multiple storage units to access the same disk pool simultaneously. This can give rise to unexpected I/O contention on the disk pool. By setting the Maximum I/O streams per volume option on the Disk Pool, you can limit the total number of jobs that access the disk pool concurrently, regardless of the job type. While the disk pool is maxed out with backup jobs that are writing images to the device, no duplication jobs are allowed to start reading from the device. (The one exception to this rule is that restore jobs are allowed to read from the Disk Pool even if the maximum configured number of input and output streams is already being utilized.)

When you enable the Maximum I/O streams per volume option on the Disk Pool the default number of streams is 2. Although the optimal number of streams per volume will vary depending on the disk type a general guide line to minimize contention would be to divide the maximum concurrent jobs count for all the storage units using the disk pool by the number of volumes in the disk pool.

Be conservative when using storage unit groups with Media Server Load Balancing

Using the Media Server Load Balancing option on storage unit groups can negatively affect Resource Broker performance. The more storage units and the more media servers represented by the storage
unit group, the harder the Resource Broker needs to work to find the best resource matches while trying
to pair the best source devices with the best destination devices.

As long as a job sits in the queued state in the Activity Monitor, waiting for resources, the search is run for
every job using the storage unit group, every time through the Resource Broker work list. The Resource
Broker continues to run this search for each evaluation cycle and for each such job until resources are
found.

Be conservative with storage unit groups by limiting the number of storage units and the number of media
servers represented by the storage unit group. If you add a media server or a storage unit to the storage
unit group, pay attention to how it affects performance.

Storage Lifecycle Policy General Best Practice

The practices listed in this section are general guidelines for tuning Storage Lifecycle Policies (SLPs) and
apply to all versions of NetBackup that support this feature.

Many of the recommendations listed in this section involve adjusting the default parameters used by
Storage Lifecycle Policies. These parameters are defined in the LIFECYCLE_PARAMETERS file which is
discussed in more detail in a later section of this document.

Introduce SLPs into the environment gradually

Because the cost of managing tapes held in off-site storage is relatively high, users have traditionally
made just one duplicate copy of a subset of their backups to send off-site. With recent trends toward disk
based storage technologies (including Virtual Tape Libraries) users now want to make multiple duplicate
copies of all of their corporate data. SLPs simplify the setup and operation of the duplication process and
maximize the available duplication resources, however there are still limits to what can be duplicated with
a given set of resources in a given period of time.

By adding new duplication jobs to your environment, you will be asking more of the backup storage
infrastructure. To help determine how an increase in duplication and SLPs in general will impact your
resources, apply SLPs to your backup policies progressively and observe whether, and by how much,
hardware use increases. Gradual implementation of SLPs will allow you to learn how SLPs work best at
your site.

Consider factors such as whether additional hardware is needed for the increased duplication load and
whether or not duplication is necessary in each case. For example, if you do not currently duplicate a set
of backups using Vault, perhaps they does not need to be duplicated with SLPs. Always consider the
additional stress that an increase in duplication may place on your environment.

Balance your duplication resources

Using SLPs improves the efficiency of your duplication resources. However, SLP duplication is
fundamentally the same duplication process as any other NetBackup duplication process and is subject to
the same constraints. One of the most important things to remember, particularly when duplicating to
tape storage, is that all duplication relationships are one to one. Two important points to remember are:

1. Duplication can preserve tape multiplexing but it cannot introduce it. There is no point having 50
   virtual tape drives to back up to and only 5 physical tape drives to duplicate to.

2. Disk backups are not multiplexed, all duplication from disk to tape is single stream. Disk to disk
duplications will run in parallel (subject to any limitations on the maximum I/O streams) but
duplications from disk to tape are serial in nature with only one stream going to each tape drive.

SLPs automatically create and process work lists that are optimized to the available resources. This
means that duplications will be handled as efficiently as possible. However they will still be subject to any
limits on the available resources.
**Avoid increasing backlog**

“Backlog” is a term used to describe the effect of duplications not keeping up with backups. Some degree of backlog is normal in most environments during periods of high backup activity but it should remain relatively constant over time, peaking towards the end of the backup window and then decreasing until all the duplication work has completed or the next backup window opens.

When the resources available for duplication are insufficient for the workload the backlog of images to be duplicated increases. As more backups are performed, the duplication backlog increases further and can rapidly reach a level at which the backlog cannot be cleared.

There are two reasons that an increasing duplication backlog is a serious problem:

1. SLPs duplicate your oldest images first. While an old backlog exists, your newest backups will not be duplicated. This can cause you to miss your Service Level Agreements (SLAs) for getting a copy of a backup to offsite storage.

2. To reduce (and ultimately get rid of) a backlog, your duplications must be able to catch up. Your duplications must be able to process more images than the new backups that are coming in. For duplications to get behind in the first place, they may not have sufficient hardware resources to keep up. To turn this around so that the duplications can catch up, you may need to add even more hardware or processing power than you would have needed to stay balanced in the first place.

The key to avoiding backlog is to ensure that images are being duplicated as fast as new backup images are coming in, over a strategic period of time. The strategic period of time differs from site to site, but might be 24 hours, 3 days, or 1 week. If you are backing up 500 GB of data daily that requires duplication, you need to be duplicating an average at least 500 GB of data daily to keep the backlog in check. This, of course, assumes a single duplication. If multiple copies are created this figure must be multiplied by the number of copies.

As you introduce SLPs into your environment, monitor the backlog and ensure that it declines during periods when there are no backups running. Do not put more jobs under the control of SLPs unless you are satisfied that the backlog is reducing adequately.

Consider the following questions to prepare for and avoid backlog:

- Under normal operations, how soon should backups be fully duplicated? What are your Service Level Agreements? Determine a metric that works for the environment.

- Is the duplication environment (that includes hardware, networks, servers, I/O bandwidth, and so on) capable of meeting your business requirements? If your SLPs are configured to use duplication to make more than one copy, do your throughput estimates and resource planning account for all of those duplications?

- Do you have enough backup storage and duplication bandwidth to allow for downtime in your environment if there are problems?

- Have you planned for the additional time it will take to recover if a backlog situation does occur? After making changes to address the backlog, additional time will be needed for duplications to catch up with backups.

**Monitoring SLP progress and backlog growth**

In NetBackup 7.1 monitoring SLP activity has been greatly simplified with the introduction of SLP reports in OpsCenter which is discussed in more detail later in this document.

It is also possible to get an immediate view of the progress of the SLPs use the Storage Lifecycle Policy utility command, `nbstlutil`. This command can be used to spot potential backlog problems before they build up to unmanageable levels. Two options of the `nbstlutil` command are particularly useful for this:
nbstlututil report – this command (which is new in NetBackup 7.1) provides a summary of incomplete images. This command supports the -storageserver, -mediaserver and -lifecycle qualifiers to home in on ‘hot spots’ that may develop into backlog situations and displays the number of duplication operations in progress (either queued or active) and the total size of the in progress duplication jobs. This command can be very useful for identifying ‘hot spots’ where a backlog may be building up.

nbstlututil stlilist -image incomplete -U – this command displays details of the unfinished copies sorted by age and can be used to determine both the time the images have been in the backlog and the names of the individual images. The image at the top of the list is the oldest, so the backup time of that image is the age of the backlog. In most configurations that image should not be more than 24 hours old. There should never be more than one backup of a particular object pending duplication at any time.

Each image is categorized as being either NOT_STARTED or IN_PROCESS. NOT_STARTED means that the duplication job has not yet been queued up for process. IN_PROCESS means that the image is currently included in the process list of a queued or active duplication job. IN_PROCESS images also display the operation which is in process, i.e. which duplication within the hierarchy is queued or active.

Reduce backlog by delaying or canceling the duplication of the older images

SLPs duplicate the oldest images first. Until the backlog is cleared up, your new backups will not be duplicated. The easiest way to reduce the backlog is to use nbstlututil to delay or cancel processing of old images.

If you choose to delay the processing of the older images by setting them to ‘inactive’, the processing can be resumed once the newest backups are completely duplicated and no copies will be lost.

If you choose to cancel the processing of the older images, the unfinished copies will never be made by the SLP. When canceling copies it is important to ensure that you do not compromise SLAs (for example by allowing a backup to expire too soon because the longer term retention copies are not created).

Delaying duplications is a good way of clearing a backlog caused by a temporary lack of resources (for example if a tape library is down), stopping work being queued while new resources are being installed and allowing more urgent duplications to be processed ahead of older, less urgent ones. It does not solve the problem of a continuously growing backlog caused by having more duplications than the available resources can manage. For a continuously growing backlog the best solution is cancel the older duplications and decrease the amount of duplication work by modifying either the backup policies or the SLPs. As with SLP monitoring there are two commands that can be used here:

nbstlututil inactive – using different qualifiers this command can be used to delay pending duplications by suspending processing for a particular SLP (-lifecycle), storage destination (-destination) or image (-backupid). Once this command is issued no further duplication work is queued up for the SLP, storage destination or image until the corresponding nbstlututil active command is issued. Setting an inactive state simply delays the processing and does not resolve backlog issues directly. This command needs to be used in conjunction with other actions (increasing duplication resources, reducing the amount of duplication activity or canceling other tasks in the backlog) to resolve the backlog.

Note: Simply setting an SLP or storage destination to inactive will not stop duplication requests from being added to the pending list and once it is set to active again these will be processed and queued. This may result in a further backlog being created if there are insufficient resources to process the requests that have built up while the SLP or storage destination was inactive. This command should only be used as part of a broader strategy to address backlog by either increasing the duplication resources available (for example adding more devices or reducing the duplication workload (for example decreasing the number of copies an SLP creates).
nbstlutil cancel – using different qualifiers this command can be used to cancel pending duplications for a particular SLP (-lifcycle), storage destination (-destination) or image (-backupid). Using this command means that the pending duplication jobs will never be processed but will be discarded instead. Cancelling the processing reduces the backlog quickly, but it may not be the best option in your environment.

**Note:** If you chose to cancel processing, be aware that NetBackup regards a cancellation as a successful duplication. Source images that are set to ‘expire on duplication’ will be expired when all the required duplication operations are ‘successful’ (i.e. have completed successfully or been canceled). Canceling a duplication does not extend the retention period of the source copy to reflect that of the canceled target copy. Nor does it enable the source to be duplicated to a target further down the hierarchy. By canceling a planned duplication you may shorten the retention period of the backup.

If you plan to reduce the backlog by working on it at the individual backup level you should script the process, using the nbstlutil stlilist –image_incomplete –U command to identify the backup IDs of entries and passing those backup IDs to the nbstlutil cancel, inactive and active commands.

The nbstlutil commands only tell the Storage Lifecycle Manager service (nbstserv) to stop processing the images (to stop creating new duplication jobs for the images). The nbstlutil command does not affect the duplication jobs that are already active or queued. Consequently, you may also need to cancel queued and active duplication jobs as well to release resources.

**Be conservative with the number of SLPs you create**

Do not create more SLPs than you need. A single SLP can be applied to multiple backup policies and a set of two or three SLPs (one for each schedule type) may be sufficient for the majority of backup policies in an environment. Using a small set of SLPs helps to standardize things like retention periods which, in turn, make storage usage more efficient.

This is consistent with general best practices to be conservative with the number of Backup Policies, Storage Unit Groups, etc. that you configure. Minimizing complexity is always a best practice.

**Use Duplication Job Priority to give backups priority over duplications**

SLPs are designed to ensure that duplications are done as quickly and efficiently as possible by utilizing available resources. This is generally acceptable when backups are not in progress but, in most cases, duplications should not take priority over backups during the backup window.

To give backup jobs preferential access to drives, assign a higher **Job priority** to the backup policies and a lower priority for the **Duplication job priority** in the SLP. Setting a backup **Job priority** greater than the **Duplication job priority** makes it more likely that duplication jobs will not get tape drives if backup jobs are waiting in the queue.

NetBackup’s batching logic also creates groups based on the **Duplication job priority** setting of each SLP. This means that multiple SLPs with the same priority can be batched together. By applying the same duplication priority to multiple SLPs using the same resources and retention periods it is possible in increase the overall batching efficiency.

**Reduce disk contention by disabling duplication during the backup window**

As a last resort you may need to use nbstlutil to disable duplications during the backup window. As discussed in the earlier section on reducing backlog, you can use the nbstlutil inactive command to temporarily suspend duplication jobs that are still in the NOT_STARTED state.

**TECH62438** describes how to write and schedule scripts to disable and enable SLP duplication requests globally or at the SLP or storage destination levels. Duplication jobs that are already queued or in
progress will not be affected by the `nbstlutil inactive` and it is therefore best run when there are no outstanding duplication jobs for the particular lifecycle or destination you plan to suspend duplication activity on.

**Be conservative when using storage unit groups with Inline Copy**

SLPs with multiple duplication destinations look for opportunities to use Inline Copy for the duplication jobs. Using storage unit groups for multiple duplication destinations that are candidates for Inline Copy can negatively affect Resource Broker performance. An Inline Copy request needs to search for the following:

- The best common media server (that is, a server with access to make all copies).
- Adequate storage for each copy.

As long as a job sits in the queued state in the Activity Monitor, waiting for resources, the search will be run for every such job from each time through the Resource Broker work list. If there are many jobs in the work list that need to find such resources, the performance of the Resource Broker suffers.

Be conservative with storage unit groups by limiting their use on destinations that are candidates for Inline Copy within a single SLP. If you must use them this way, limit the number of storage units and the number of media servers represented by the storage unit group. If you add a media server or a storage unit, pay attention to how it affects Resource Broker performance.

This is best practice for all backup and duplication operations and is not specific to SLPs.

**Large duplication jobs are more efficient**

Whether you are copying images from disk or VTL, if duplication jobs are allowed to be large, there will be fewer of them and the job queue processed by Resource Broker will be smaller. This allows Resource Broker to be more efficient. If duplication jobs are allowed to be small, the excessive number of duplication jobs in the queue will negatively impact the ability for all types of queued jobs to acquire resources quickly.

Duplicating images works best if you tune SLPs to create duplication jobs that are as large as your business requirements can tolerate.

The `MIN_GB_SIZE_PER_DUPLICATION_JOB` value should be large enough to capture as many tapes as you can tolerate for each duplication job. (The larger the duplication job, the longer it will consume tape drives; that may be a limiting effect given your other business requirements.)

Large duplication jobs are more efficient when duplicating from tape because:

1. If the original images are multiplexed on the virtual tapes, the duplication job must be large enough for **Preserve multiplexing** to work.

   For example if your images are 10 GB and are multiplexed at a level of 4 (so that four images are interleaved on the tape at a time). If `MIN_GB_SIZE_PER_DUPLICATION_JOB` is set to 8 GB (the default) then the SLP will put only one 10 GB image into each duplication job, so **Preserve multiplexing** will not be able to do what it is supposed to do; the duplicate job will have to re-read the tape for each image. `MIN_GB_SIZE_PER_DUPLICATION` will need to be set to at least 40 GB (and would be better at 80 GB or more) for **Preserve multiplexing** to work well.

2. If the duplication job is allowed to be too small, then multiple duplication jobs will require the same tape(s). For example if there are an average of 500 images on each tape and the duplication jobs are allowed to be small enough to pick up only about 50 images at a time then, for each tape, an SLP will create 10 duplication jobs that all need the same tape. Contention for media causes various inefficiencies.
A large duplication job that reads from tape will choose a batch of images that reside on a common "media set" that may span many tapes. This is more efficient because the larger the duplication jobs, the fewer jobs will be contending with each other for the same media.

**Considerations for environments with very large images and many very small images**

Though a large MIN_GB_SIZE_PER_DUPLICATION_JOB works well for large images, it can be a problem if you also have many very small images. It may take a long time to accumulate enough small images to reach a large MIN_GB_SIZE_PER_DUPLICATION_JOB. To mitigate this effect, you can keep the timeout that is set in MAX_MINUTES_TIL_FORCE_SMALL_DUPLICATION_JOB short enough for the small images to be duplicated sufficiently soon. However, the small timeout (to accommodate your small images) may negate the large MIN_GB_SIZE_PER_DUPLICATION_JOB setting (which was intended to accommodate your large images). It may not be possible to tune this perfectly if you have lots of large images and lots of very small images.

For instance, suppose you are multiplexing your large backups to tape (or virtual tape) and have decided that you would like to put 2 TB of backup data into each duplication job to allow Preserve multiplexing to optimize the reading of a set of images. Suppose it takes approximately 6 hours for one tape drive to write 2 TB of multiplexed backup data. To do this, you set MIN_GB_SIZE_PER_DUPLICATION_JOB to 2000. Suppose that you also have lots of very small images happening throughout the day, that are backups of database transaction logs which need to be duplicated within 30 minutes. If you set MAX_MINUTES_TIL_FORCE_SMALL_DUPLICATION_JOB to 30 minutes, you will accommodate your small images. However, this timeout will mean that you will not be able to accommodate 2 TB of the large backup images in each duplication job, so the duplication of your large backups may not be optimized. (You may experience more re-reading of the source tapes due to the effects of duplicating smaller subsets of a long stream of large multiplexed backups.)

If you have both large and very small images, consider the tradeoffs and choose a reasonable compromise with these settings. Remember: If you choose to allow a short timeout so as to duplicate small images sooner, then the duplication of your large multiplexed images may be less efficient.

**Recommendation:**

Experience has shown that DUPLICATION_SESSION_INTERVAL_MINUTES tends to perform well at 15 to 30 minutes. Set MAX_MINUTES_TIL_FORCE_SMALL_DUPLICATION_JOB to twice the value of DUPLICATION_SESSION_INTERVAL_MINUTES. A good place to start would be to try one of the following, then modify these as you see fit for your environment:

DUPLICATION_SESSION_INTERVAL_MINUTES 15
MAX_MINUTES_TIL_FORCE_SMALL_DUPLICATION_JOB 30

Or:

DUPLICATION_SESSION_INTERVAL_MINUTES 30
MAX_MINUTES_TIL_FORCE_SMALL_DUPLICATION_JOB 60

**Considerations when using SLPs with Catalog Backups**

NetBackup 6.5.4 introduced the ability to use SLPs with catalog backups, however the following points need to be considered when doing this:

- When writing to tape or VTL catalog backups must be directed to volume pools which have the 'catalog backup' attribute set. This attribute is not checked when an SLP is configured for use with a catalog backup policy so you must check the pool attributes are set correctly when you create the storage destinations in the SLP.
NetBackup 7.1 Best Practice – Using Storage Lifecycle Policies

- If catalog backups are duplicated using SLPs additional steps are required to restore the catalog from a non-primary copy of the catalog backup. More information about this can be found in the NetBackup Troubleshooting Guide and also in TECH125748.
- If catalog backups are duplicated using SLPs both parts of the catalog backup must be duplicated in the same duplication job.
- Additional steps are required when recovering catalog backups from disk storage types supported with SLPs. More information about this process can be found in TECH72198

Considerations when duplicating multiple stream application backups

Many application backups such as Oracle and MS-SQL can be configured to back up data in multiple parallel streams. When data is backed up in this way the application API usually expects to restore it parallel as well. This is not an issue if the backup is written to disk or to tape (either by multiplexing to a single tape or writing to multiple tapes in parallel) but the process of duplicating to tape or VTL is sequential and a set of backup streams written in parallel and then duplicated to tape is most likely to be stored sequentially on tape. This can present a problem if a restore needs to be made from the tape copy as the restore process may pause or even time out waiting for the required streams to be located on the tape.

Strategies specific to tape devices (including Virtual Tape Library)

This section looks at considerations around the use of tapes and tape libraries as both duplication sources and destinations. Remember that NetBackup treats a Virtual Tape Library (VTL) in exactly the same way that it treats a physical tape library – to NetBackup there is no difference between physical and virtual tape.

One common practice with VTLs is “VTL staging” in which backup images are written to a VTL with a short retention period and subsequently duplicated to some other storage device, usually physical tape, for longer term storage. When using VTL staging it is important to remember that duplication is effectively a serial process. While duplication between virtual and physical tapes can preserve multiplexing it cannot introduce it so it is not possible to configure an environment where a large number of virtual tape drives duplicates to a smaller number of physical tape drives.

Minimize contention for tape drives

Backlog may increase because of inefficient duplication caused by tape drive contention. This problem can be addressed in the following ways:

- Assign a job priority to NetBackup policies.
  - To give backup jobs preferential access to drives, assign a higher Job priority to the backup policies and a lower priority for the Duplication job priority in the SLP.
  - A backup Job priority greater than the Duplication job priority makes it more likely that duplication jobs will not get tape drives if backup jobs are waiting in the queue.

- Reserve some tape drives specifically for backup jobs and some specifically for duplication jobs. The following guidance applies if you are not using the Shared Storage Option:
  - Tape drives for the backup jobs: If you need duplication jobs to run concurrently with backup jobs, then define a storage unit for your backup policies which uses only a subset of the tape drives in the device. To do this, ensure that the storage unit’s setting for Maximum concurrent drives is less than the total number of drives in the library.
For instance, if your tape device has 25 drives you may want to set Maximum concurrent drives for your backup storage unit to 12, thereby reserving the other 13 drives for duplication (or restore) jobs. (Suppose these 13 drives are for 12 duplication jobs to read images, and for 1 restore job to read images.)

- **Tape drives for duplication jobs:** Define a destination storage unit for your duplication jobs that matches, in number, the number of “read” drives reserved for duplication at the original device. To follow the previous example, this duplication storage unit would have a Maximum concurrent drives of 12, to match the 12 read drives reserved for duplication on the previous device.

For the duplication jobs it is important to keep a 1:1 ratio of “read” virtual drives to “write” physical drives.

Keep in mind that media contention can still make this inefficient. To reduce media contention, be sure to follow the other guidelines in this document, including tuning the SLP environment for very large duplication jobs (via the LIFECYCLE_PARAMETERS file).

### Provide an appropriate number of virtual tape drives for duplication

When you are duplicating from VTL to real tape, configure twice as many tape drives in your VTL as there are physical drives in the destination device. For example, if you have 5 physical tape drives that will write the duplicate images, configure at least 10 VTL drives—use 5 for backup and 5 for duplication.

The number of duplication drive pairs should be greater than or equal to the number of tape drives used during the backup window. For example if 5 VTL drives are used for backup there should be at least 5 drive pairs (5 VTL drives and 5 physical drives) available for duplication. If fewer drive pairs are available for duplication, the duplication will take more time than the original backups required and a backlog of unfinished images could accumulate.

If more drive pairs are available for duplication, the duplication can be done in less time than the original backups. (This is subject to the performance of the hardware involved and SLP duplication batching.)

### Avoid sharing virtual tape drives

Because NetBackup does not differentiate between physical and virtual tape drives it is possible to configure the drives in a VTL to behave in exactly the same way as physical tape drives from a NetBackup perspective, including using the Shared Storage Option to share virtual tape drives between media servers. While such sharing is possible with virtual tape drives it can lead to drive contention. When using VTLs with SLPs create as many virtual tape drives as you need for all media servers, do not create a smaller number and share them between media servers.

Remember the guideline from the previous section – create twice as many tape drives in your VTL as there are physical drives in the destination device. If the physical tape drives are shared between media servers then factor this in when calculating how many virtual tape drives to configure on each media server.

### Preserve multiplexing

If backups are multiplexed on tape, Symantec strongly recommends that the **Preserve multiplexing** setting is enabled in the Change Destination window for the subsequent duplication destinations. **Preserve multiplexing** allows the duplication job to read multiple images from the tape while making only one pass over the tape. (Without **Preserve multiplexing** the duplication job must read the tape multiple times so as to copy each image individually.) **Preserve multiplexing** significantly improves performance of duplication jobs. On a VTL, the impact of multiplexed images on restore performance is negligible relative to the gains in duplication performance.
Use Inline Copy to make multiple copies from a tape device (including VTL)

If you want to make more than one copy from a tape device, use Inline Copy. Inline Copy allows a single duplication job to read the source image once, and then to make multiple subsequent copies. In this way, one duplication job reads the source image, rather than requiring multiple duplication jobs to read the same source image, each making a single copy one at a time. By causing one duplication job to create multiple copies, you reduce the number of times the media needs to be read. This can cut the contention for the media in half, or better.

Improving duplication speed of small images to tape

NetBackup 7.1 introduces a new feature, deferred file mark writing, designed to improve the write speed when duplicating large numbers of small images to tape. Although the speed of tape drives has increased significantly over the past 10 years the time to write a file mark on the tape has remained largely unchanged. For smaller backup images (less than 100 Mbytes) the time required to write the file mark may be longer than the time required to duplicate the image. The net effect of this is that the duplication process appears to run slowly and take longer than it should. Prior to NetBackup 7.1 a file mark was written after each image was duplicated to tape. With NetBackup 7.1 and above the writing of file marks can be deferred so that the file mark is written after a certain number of images have been duplicated (8 by default) or when an image greater than a certain size has been duplicated (1 Gbyte by default). These values can be changed by entering different values in two files located in /usr/openv/netbackup/db/config on UNIX and Linux master servers and <install_path>\veritas\netbackup\db\config on Windows master servers.

The value entered in the file DEFERRED_IMAGE_LIMIT determines the number of small images duplicated between each deferred tape file mark.

The value entered in the file DEFERRED_IMAGE_KBSIZE determines the maximum size (in Kbytes) of an image for which a tape file mark is deferred.

The feature can be completely disabled by entering a value of 1 in the file DEFERRED_IMAGE_LIMIT.

In most cases the default values should be sufficient to significantly increase the speed of duplications of small images.

Considerations for using Auto Image Replication

A NetBackup domain is a collection of NetBackup clients and media servers controlled by a single NetBackup master server. Prior to NetBackup 7.1 SLPs work exclusively within the confines of a single NetBackup domain. Starting with NetBackup 7.1 SLPs support the duplication of images from one NetBackup domain to another. This feature is known as Auto Image Replication.

Auto Image replication establishes a relationship between NetBackup domains that are referred to as the ‘source domain’ and the ‘target domain’ and are defined as follows:

Source domain – the source domain is the NetBackup domain where the initial backup takes place. The backup administrator in the source domain defines an SLP that will send a copy of the backup to the target domain, and specifies a period of time that this or some other copy will be retained there. This period of time is known as the ‘remote retention’.

Target domain – the target domain is the NetBackup domain which receives the copy of the backup from the source domain. The backup administrator in the target domain defines an SLP with the same name as the one in the source domain. This SLP is triggered when a copy of the backup arrives in the target domain.

After appropriately configuring a source/target relationship between compatible OpenStorage disk storage devices in the two domains, the feature is enabled by configuring an SLP in the “source” domain to perform a “duplication” to a remote “target” domain and a corresponding SLP in the target domain.
The target SLP starts with an “Import” operation. When the source SLP’s duplication job completes, the target SLP will automatically trigger an import job, to catalog the image.

The source and target SLPs must use the same SLP name and the same Data Classification. The configuration of this feature is explained in more detail in Chapter 15 of the NetBackup 7.1 System Administrator’s Guide Volume 1.

Auto Image Replication is primarily intended to provide a level of site disaster recovery by allowing selected, mission critical backups to be copied between sites electronically. This feature can handily replace previous site disaster methods, which can be cumbersome and limited:

- Physically moving media (tapes) to off-site storage.
- Performing a catalog recovery at a remote site. (Does not support spoke-hub environments.)
- Writing scripts to import images that have been sent by various means such as physical tape or disk replication.

All of the best practice considerations for SLPs also apply when using Auto Image Replication but there are also some additional factors to bear in mind and these are discussed in the following sections.

In particular it is important to remember that there are limits to the amount of data that can be copied between domains. Do not use Auto Image Replication to duplicate and replicate all of your data offsite unless you have done a thorough study and upgrade of your storage and network bandwidth requirements in order to support such a load.

As with SLPs in general it is essential that you ramp up slowly, starting with only a portion of your backups and slowly adding more.

**Some points to note about the Auto Image Replication process**

The Auto Image Replication feature supports one-to-one, (one source domain sending backups to one target domain), one-to-many, and many-to-one configurations

The SLP that provides the source side of the Auto Image Replication can also be used to create other copies of the backup in the source domain.

The source domain can send images to multiple target domains, as configured via the underlying storage.

The source domain can also be used as a target domain, with other SLPs that import images duplicated from some other domain(s).

The SLP that provides the target side of the Auto Image Replication catalogs the backup in the target domain and may also duplicate the backup to longer term storage in the target domain. This SLP include an ‘import’ storage destination where the backup arrives but may also contain other storage destinations that the backup is then duplicated to.

At least one storage destination in the target domain’s SLP must specify the “Remote Retention” to ensure that the backup is retained for the period of time specified by the source SLP. However this does not have to be the import destination and it is typical for the import storage destination to have a short retention period and for the SLP to be configured to duplicate the backup to another destination set to the Remote Retention for long term storage. (Note that in NetBackup 7.1 the import storage destination can only have fixed retention and cannot be configured for “expire on duplication”).

The automatic import at the target domain is not the traditional, and sometimes slow 2 phase import. This new import job is an optimized import that is very quick on execution. The replication of the image to the target domain also replicates the metadata for the image. At the remote site, the metadata need only be moved into the catalog (rather than reconstructed from scratch, as is done by the 2 phase import).

**General operational considerations**

The source domain will receive confirmation that the duplication job succeeded (or failed). The duplication job in the activity monitor will indicate the appropriate status. This only means that the target
storage received the data. This does not guarantee that the target domain’s SLP was successful in processing the backup.

If there is no SLP with an import storage destination in the target domain (or an SLP exists but the name does not match that of the source domain’s SLP) the target domain: the target master will show a failed import job in its activity monitor. This failure will not be visible in the source domain where a successful duplication will be indicated. Once a suitable SLP exists in the target domain the failed import process will be processed by the next import job (which will be triggered by the next duplication from the source domain).

**Storage considerations**

Auto Image Replication requires compatible OpenStorage devices (including NetBackup deduplicating devices) to transfer the data between the source and target NetBackup domains because it leverages the unique capabilities of the OpenStorage API in order to make the duplication process efficient. OpenStorage devices will need a plug-in that supports the Auto Image Replication feature in order to make use of it. Refer to the NetBackup Hardware Compatibility List for supported storage devices.

**Bandwidth considerations**

The network bandwidth required for Auto Image Replication is unpredictable to NetBackup based on the fact that we have no way to predict in real-time the deduplication rate of a duplication image set, the Optimized Duplication throughput of the storage device, or current network traffic. Additionally, this is very likely to occur over a WAN which implies longer latencies and lower bandwidth in general. This is another reason that it is wise to plan accordingly and to ramp up slowly.

**Target domain ingest rates**

It is difficult for the backup administrator at the target domain to know what the ingest rate will be. This is because data is “pushed” into his domain from other sites instead of created in his domain via NBU policies. This is a very different paradigm than you are used to. The NetBackup administrator from the source domain will need to work closely together with the NetBackup administrator in the target domain in order to plan storage requirements.

The command `nbstlutil pendimplist` can be run on the target master server to view images that have been successfully duplicated but have not yet been imported into the target domain (for example because the import storage unit is marked ‘down’ for some reason).

**Restore considerations**

The Auto Image Replication feature is primarily intended as a site disaster recovery mechanism. It allows mission critical backups to be selectively copied to a remote location where they may be restored to alternate client hardware in a separate NetBackup domain in the event of the loss of the original site.

There is no automated way to restore backups directly from the target domain to clients in the source domain. However restores to the original client can still be performed using all manual methods available in previous releases of NetBackup. For instance, one could send a tape containing a copy of the backup in that was created at the target domain to the source domain and import it.

**Retention considerations**

The minimum period of time that a backup is to be held in the target domain is determined by a retention period set by the source SLP in the source domain. This retention period, specified for remote storage, may be longer than any retention period set for local copies of the backup in the source domain. (For example a copy of the backup may need to be held for years at a remote repository for compliance
reasons.) It is important to remember that the copy in the target domain is not tracked in the source domain’s catalog.

Once all copies of the backup in the source domain have expired, users in that domain will need to do one of the following to determine that a copy exists at the target domain:

- Run the OpsCenter “SLP Status Report” (by image or by image copy).
- Run the `nbstlutil repllist -U` command on the source domain’s master server to display information about backups that should have a copy in the target domain. (This command uses information retained in the source domain’s catalog about successful duplications and does not interrogate the source domain directly. As such can provide a “false positive” if the image was manually expired from the target domain.)

The **LIFECYCLE_PARAMETERS** file

The **LIFECYCLE_PARAMETERS** file is located in `/usr/openv/netbackup/db/config` on UNIX and Linux master servers and `<install_path>\veritas\netbackup\db\config` on Windows master servers.

**Note:** This file does not exist by default and only needs to be created if non-default values need to be used for any of the parameters. Not all parameters are required in the file and there is no order dependency in the file. Any parameters omitted from the file will use default values.

Use the following entries in the **LIFECYCLE_PARAMETERS** file to tune the size and frequency of duplication jobs. Changes to these parameters will take effect immediately without restarting any NetBackup services:

- **MIN_GB_SIZE_PER_DUPLICATION_JOB** 8
  
  Adjusting this value, indicated in gigabytes, affects the number and size of duplication jobs.

  If the **MIN_GB_SIZE_PER_DUPLICATION_JOB** setting is small, more duplication jobs are created. If it is large, fewer, larger duplication jobs are created.

  **Note:** For low-volume times of day or other low-volume conditions, the **MAX_MINUTES_TIL_FORCE_SMALL_DUPLICATION_JOB** timeout will allow smaller duplication jobs to run prior to reaching the **MIN_GB_SIZE_PER_DUPLICATION_JOB** target size.

  Default value: 8 GB.

- **MAX_GB_SIZE_PER_DUPLICATION_JOB** 25
  
  This entry controls the maximum size of a duplication job. (When a single image is larger than the maximum size, that one image will be put into its own duplication job.)

  Consider your tolerance for long-running duplication jobs. Can you tolerate a job that will run for 8 hours, consuming tape drives the entire time? Four hours? One hour? Then calculate the amount of data that would be duplicated in that amount of time. Remember that the larger the duplication job is, the more efficient the duplication job will be.

  Default value: 25 GB.

  **Note:** For very small environments and evaluation/proof of concept purposes a greater degree of granularity can be achieved by using the parameters **MIN_KB_SIZE_PER_DUPLICATION_JOB** and **MAX_KB_SIZE_PER_DUPLICATION_JOB** instead of the parameters described above. The default values remain the same but the overriding values are specified in Kbytes rather than Gbytes.
• MAX_MINUTES_TIL_FORCE_SMALL_DUPLICATION_JOB 30

Often there will be low-volume times of day or low-volume SLPs. If new backups are small or are not appearing as quickly as during typical high-volume times, adjusting this value can improve duplication drive utilization during low-volume times or can help you to achieve your SLAs. Reducing this value allows duplication jobs to be submitted that do not meet the minimum size criterion.

Default value: 30 minutes. A setting of 30 to 60 minutes works successfully for most environments but a lower value may be required in environments with large numbers of small backups.

• DUPICATION_SESSION_INTERVAL_MINUTES 5

This parameter indicates how frequently nbstserv looks to see if enough backups have completed and decides whether or not it is time to submit a duplication job(s).

Default: 5 minutes. For versions of NetBackup prior to 6.5.5 this value may need to be increased to between 15 and 30 minutes.

• IMAGE_EXTENDED_RETRY_PERIOD_IN_HOURS 2

After duplication of an image fails 3 times, this is the time interval between subsequent retries.

Default: 2 hours. This setting is appropriate for most situations.

• DUPICATION_GROUP_CRITERIA 1

This parameter is only used in NetBackup 6.5.5 and above and lets administrators tune an important part of the batching criteria. The entry applies to both tape and disk use and has two possible values:

0 = Use the SLP name. Use 0 to indicate that batches be created based on the SLP name. In effect, 0 was the default for previous releases.

1 = Use the duplication job priority. Use 1 to indicate that batches be created based on the duplication job priority from the SLP definition.

Default: 1 for NetBackup 6.5.5, 0 (non-configurable) for all earlier versions. This setting allows multiple SLPs of the same priority together in a job.

• TAPE_RESOURCE_MULTIPLIER 2

Another parameter that is only used in NetBackup 6.5.5 and above, this value determines the number of duplication jobs that the Resource Broker will evaluate for granting access to a single destination storage unit.

Storage unit configuration includes limiting the number of jobs that can access the resource at one time. The Maximum concurrent write drives value in the storage unit definition specifies the maximum number of jobs that the Resource Broker can assign for writing to that resource.

Overloading the Resource Broker with jobs that it can’t run is not prudent. However, we want to make sure that there’s enough work queued so that the devices won’t become idle. The TAPE_RESOURCE_MULTIPLIER parameter lets administrators tune the amount of work that is being evaluated by the Resource Broker for a particular destination storage unit.

For example, a particular storage unit contains 3 write drives. If the TAPE_RESOURCE_MULTIPLIER parameter is set to 2, then the Resource Broker will consider 6 duplication jobs for write access to the destination storage unit.

The default is 2. This value is appropriate for most situations. This is not configurable for versions of NetBackup prior to 6.5.5; a value of 1 is used.

The syntax of the LIFECYCLE_PARAMETERS file, using default values, is shown below. Only the non-default parameters need to be specified in the file, any parameters omitted from the file will use default values.

MIN_GB_SIZE_PER_DUPLICATION_JOB 8
NetBackup 7.1 Best Practice – Using Storage Lifecycle Policies

MAX_GB_SIZE_PER_DUPLICATION_JOB 25
MAX_MINUTES_TIL_FORCE_SMALL_DUPLICATION_JOB 30
IMAGE_EXTENDED_RETRY_PERIOD_IN_HOURS 2
DUPLICATION_SESSION_INTERVAL_MINUTES 5

Reporting on Storage Lifecycle Policies

NetBackup 7.1 introduces reporting for Storage Lifecycle Policies in OpsCenter. Using OpsCenter to report on SLPs you can find information including:

- If the SLP performing according to schedule
- If the additional copies have been made
- Is there a backlog and is it getting worse

Storage Lifecycle Policy reporting is possible in the following views of the SLP Status report set:

- SLP Status by SLP
- SLP Status by Destination
- SLP Duplication Progress
- SLP Status by Client
- SLP Status by Image
- SLP Status by Image Copy
- SLP Backlog

SLP reporting is included with the basic OpsCenter data gather from the Master Servers. There are only two reports in the Point and Click Report area – SLP Status and SLP Backlog. Clicking on these links will open up the GUI to provide a great deal more information before the filtering process starts.

Figure 1 – Accessing SLP Reports

SLP Status Report

Clicking on the SLP Status report will open into a tabular report format as seen below. In this example there is a single Master so a single line of information. The initial glance shows quite a bit of information about what is happening in the Storage Lifecycle Policy environment, however the real wealth of information lies beneath the hyperlinks in most of the columns.
The information included in this “at a glance” report gives an overview of the health of the SLPs in each NetBackup domain (under each master server) listed. It shows Storage Lifecycle Policy completion statistics in percentages and in hard numbers so that an administrator can quickly get a feel for whether there are unexpected issues with the processing of the Storage Lifecycle Policies in any domain. These completion statistics are provided in three different metrics: the number of images, the number of copies to be made, and the size of the copies to be made. Also shown, in tabular format, are the number of images in the backlog in the “Images not Storage Lifecycle Policy Complete” column. Other fields that are beneficial are the expected and completed sizes.

Most of the fields have a hyperlink for additional drilldown. An example is the first hyperlink – the “Master Server (where the SLP Lives)” link. Clicking on the name of the Master will give the SLP Status by SLP report with additional drill downs.

### Reporting on Auto Image Replication Activity

Auto Image Replication reporting is part of the SLP drill downs. Information about the replication process can be found by clicking on the SLP Status Report, then selecting the Master that owns the original information to get to the SLP Status by SLP report as shown in Figure 3 above.

Once on the view above, the information about the replicated data to the remote Master is found by clicking on the name of the SLP that was configured with the Auto Image Replication information. In the example above, it is the “AIR-DUPE-MSDP” Storage Lifecycle Policy. Clicking on the hyperlink will bring up the SLP Status By Destination report, as shown below. In this case it indicated that all replication has been completed to the remote site.

### Figure 4 - Auto Image Replication Activity Reporting in the SLP Report

This report can be configured to be emailed to the admin on a scheduled basis to help determine if the Auto Image Replication process is working correctly.
The SLP Backlog Report

The Backlog Report can show at a glance when there is a problem with the backlog. Having a backlog is a normal condition but having a backlog that is growing over an extended period of time is a problem. The backlog may need to be managed to keep the Storage Lifecycle Policy environment from getting too far behind. In the example in Figure 5 below, the backlog has built up to the point where the number of created images is equal the unfinished images. If the backlog continues to grow beyond this point it is unlikely to shrink without intervention to either increase the duplication resources or cancel some of the pending duplication requests.

Data for the Backlog report is collected from the OpsCenter database at midnight every day therefore the information is not “real time”. The intent of the report is to show a trend in the backlog, over time. The backlog is expected to grow and shrink during each day, but the general trend should be level. If the backlog is growing over the course of many days or weeks when backup volumes are not growing the reason for the growth should be investigated. It may be that there is not enough infrastructure to handle the amount of duplication traffic the SLPs are generating.

To obtain information about the current backlog at any moment in time use the nbstutil command as described in the earlier section “Monitoring SLP progress and backlog growth”.

Considerations when upgrading from versions prior to NetBackup 6.5.5

Customers who have already upgraded to NetBackup 6.5.5 or above should already have read TECH75047 and applied the recommended changes associated with that release. For the benefit of customers upgrading from NetBackup 6.5.4 and earlier versions those recommendations are included here.
Use duplication job priority to group SLPs

Changes to the batching logic in 6.5.5 mean that instead of grouping by SLP name, nbstserv now creates groups based on the Duplication job priority setting of each SLP. This means that multiple SLPs with the same priority can be batched together. By applying the same duplication priority to SLPs using the same resources and retention periods it is possible in increase the overall batching efficiency.

Use Network Optimized Duplication to reduce duplication over the LAN

NetBackup creates backup copies by duplicating the backup image from the source media to the destination media. In the following situations, NetBackup must select a media server selection for the duplication destination:

- If the duplication destination is a storage unit group
- If the duplication destination is a storage unit with shared drives that are accessible by multiple media servers.
- If the duplication source or destination is a disk storage unit configured to be accessible from multiple media servers.

In previous releases, NetBackup did not automatically attempt to use the same media server for both the source and the duplication destinations. To ensure that the same media server was used, the administrator had to explicitly target the storage units that were configured to use specific media servers.

In NetBackup 6.5.4 and above this behavior remains the default but it can be changed by using the following command:

```
nbemmcmd -changesetting -common_server_for_dup <default|preferred|required> -machinename master_server_name
```

Select from the following options:

- default – the default option (default) instructs NetBackup to try to match the destination media server with the source media server. Using the default option, NetBackup does not perform an exhaustive search for the source image. If the media server is busy or unavailable, NetBackup uses a different media server.

- preferred – The preferred option instructs NetBackup to search all matching media server selections for the source. The difference between the preferred setting and the default setting is most evident when the source can be read from multiple media servers, as with Shared Disk. Each media server is examined for the source destination. And for each media server, NetBackup attempts to find available storage units for the destination on the same media server. If all of the storage units that match the media server are busy, NetBackup attempts to select storage units on a different media server.

- required – the required option instructs NetBackup to search all media server selections for the matching source. Similar to the preferred setting, NetBackup never selects a non-common media server if there is a chance of obtaining a common media server. For example, if the storage units on a common media server are busy, NetBackup waits if the required setting is indicated. Rather than fail, NetBackup allocates source and destination on different media servers.

Performance considerations for preferred and required options

Before changing the default from default to preferred or required, be aware that there is a trade-off for using the preferred option and the required option. The preferred option and the required option indicate to NetBackup to find a media server that is common to the backup source and the copy destination. The search time increases, and the search occurs while NetBackup attempts to allocate a storage unit. In busy systems, the increased allocation time can result in slower times assigning resources to jobs.

In general, the fewer destination storage unit and media server selections to examine, the less time is spent allocating resources for each job. On busy systems with many duplication jobs, particularly multiple
copy duplication jobs, it takes longer to allocate resources for each job. The delay decreases the number of jobs that can be given resources in a unit of time. If using storage unit groups as duplication destinations, smaller groups perform better than larger groups. Also, the smaller the set of source and destination media server choices, the better the performance.

**Touch file RB_DO_NOT_USE_SHARED_RESERVATIONS**

In 6.5.4 and above the Resource Broker uses shared reservations by default. Note that this is a change from 6.5.3, in which shared reservations were not used by default.

If tape drive utilization degrades after upgrading from 6.5.3 to 6.5.5, consider disabling shared reservations by using the `RB_DO_NOT_USE_SHARED_RESERVATIONS` touch file.

The touch file is located in the following directory:

```
/usr/openv/netbackup/db/config/RB_DO_NOT_USE_SHARED_RESERVATIONS
```

With the introduction of SLP versioning in NetBackup 6.5.5 this restriction was lifted, however in-progress images are affected by the change and will still complete their original duplication sequence as this is laid down when the image is first created. These settings are discussed below:

**SECONDS_FOR_EVAL_LOOP_RELEASE**

This entry controls the time interval after which `nbrb` interrupts its evaluation cycle and releases resources that have been given up by completed jobs. (It optionally unloads drives that have exceeded their media unload delay.) Only after the resources are released can they be assigned to other jobs in the queue.

Experience has shown that changing this entry seems to be effective only when the evaluation cycle times are greater than 5 minutes. You can determine the time of the evaluation cycle by looking at the `nbrb` logs. Keep the following points in mind as you tune this value:

- There is a cost to interrupting the evaluation cycle.
- Under certain load conditions, a 1-minute setting with a 2-minute evaluation cycle can work. Experiment with the values and reach a number that suits your environment best.
- A value of 3 minutes works best for the majority of users and it is the same as the default media unload delay.

For release 6.5.4, the default value is **180** (3 minutes).

Do not set this value lower than **120** (2 minutes).

**DO_INTERMITTENT_UNLOADS**

The default value is 1. This value should always be set to 1, unless you are directed otherwise by Symantec technical support to address a customer environment-specific need.

This value affects what happens when `nbrb` interrupts its evaluation cycle because the `SECONDS_FOR_EVAL_LOOP_RELEASE` time has expired. When the value is set to 1, `nbrb` initiates unloads of drives that have exceeded the media unload delay.

**Restrictions lifted in 6.5.5**

For those customers upgrading from versions prior to 6.5.5 it is worth noting that some restrictions that applied to earlier releases either no longer apply or have been relaxed. In particular the following should be noted:
SLPs sharing tapes

In earlier versions of NetBackup allowing different SLPs to share the same set of tapes could result in contention issues because each SLP created its own duplication jobs. Modifying SLPs with in-progress images

In earlier versions of NetBackup modifying SLP definitions with in-progress images (i.e. images whose duplication cycle was not complete) could result in those images never being successfully duplicated.
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Symantec is a global leader in providing storage, security and systems management solutions to help consumers and organizations secure and manage their information-driven world.

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